Human population dynamics Lecture 1 History of human population

Joel E. Cohen Laboratory of Populations Rockefeller & Columbia Universities 2019-06-22

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Vézelay, France, 2008-07-21 JEC

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Preliminaries

Thank you to students for taking this course. Thank you to Prof. Shimada, Prof. Shefferson, & teaching assistants Zhao & Truong, for teaching with me. Zhao & Truong will introduce themselves & give their email addresses. Students, please ask all admin & grading questions to Prof. Shimada & teaching assistants Zhao & Truong.

What I offer you

Lectures, 3 days

Slides of lectures after each day will be posted on course website for your private educational use only, not for re-posting or publication

Checklist of key words, terms, concepts, posted on course website

What I ask from you

Please ask questions during class. Required INDIVIDUAL (not group) report on same day as each class, using the form downloaded from the course website: for EACH class, please write for 5 minutes (in English! not more than ½ page please!) on one or more of these options:

1. big idea;

- 2. something specific you learned;
- 3. something you disagree with;
- 4. question.

Course project.

What I ask from you: course project

Please download & read "HPDCourseProject20190622.docx" description from course website.
Research for project may be individual or by a group of 2, 3, or 4 people.

Report must be written separately by each individual & uploaded individually to ITC-LMS website by 2019-07-20, 23:00.

Important: please pick questions that interest you personally.

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- www.prb.org Population Reference Bureau data finder
- www.un.org/esa/population/ United Nations Population Division http://www.un.org/popin/data.html Population Information data base
 http://www.census.gov/data.html United States Census Bureau U.S. & international data

On-line demographic data http://databank.worldbank.org/data/home.as px World Bank World DataBank http://www.who.int/gho/database/en/ World Health Organization data repository http://faostat3.fao.org/home/E Food & **Agriculture Organization of the UN** Statistics Division data base https://www.cia.gov/library/publications/reso urces/the-world-factbook/ Central **Intelligence Agency World Factbook** 2019-07-08 Joel E. Cohen 8

On-line demographic data UNICEF/WHO/World Bank Joint Child Malnutrition Estimates, March 2019 https://data.unicef.org/topic/nutrition/malnutrition/ "The Joint Malnutrition Estimates (JME) country dataset9 lists, as of January 2019, estimates after re-analysis for 474 nationally representative household surveys from 112 countries." http://www.who.int/nutgrowthdb/estimates/en/ Recommendations for data collection, analysis and reporting on anthropometric indicators in children 2019-07-Mander 5 years old. Geneva: WHO, UNICEF 2019. 9

http://stats.oecd.org/ Organisation for Economic Co-operation & Development OECD.Stat for OECD & selected nonmember economies

http://ec.europa.eu/eurostat Eurostat European statistics

https://www.ined.fr/en/everything_about_pop ulation/data/all-countries/#r150 Institut National d'Études Démographiques data on France & other countries & regions

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On-line demographic data https://www.worldpop.org/ Open access archive of spatial demographic data sets.

http://www.mortality.org/ Human Mortality **Database detailed population & mortality** data for 38 countries or areas http://www.humanfertility.org/cgibin/main.php Human Fertility Database http://www.cdc.gov/nchs/ U.S. Centers for **Disease Control & Prevention, National Center for Health Statistics**

CLIO-INFRA, historical statistics on inequality, with section on population: https://urldefense.proofpoint.com/v2/url?u=https-3A clio-2Dinfra.eu Indicators TotalPopulation.html-23&d=DwIFAg&c=JeTkUgVztGMmhKYjxsy2rfo WYibK1YmxXez1G3oNStg&r=FKhjYc1HQoCLn nr9NvxYqNpS4P-JkIKTYMtb4yHWJA8&m=Us-2KXID8T3AJnQ7I5vPWzqMNC7n8h9J4bRIESW uUIc&s=folsztLmlfM5izrJOnnBExm18XbNyonQd KmzDuDpsJE&e=

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https://www.popgrid.org/ **POPGRID** Data Collaborative **Enhanced Population, Settlement and** Infrastructure Data https://earthtime.org/ EarthTime "visualizations of the Earth's transformation over time. Combining huge data sets with images captured by NASA satellites between 1984 and 2016"

- https://sedac.ciesin.columbia.edu/data/collec tion/gpw-v4
- Gridded Population of the World (GPW), v4 "a spatially disaggregated population layer that is compatible with data sets from social, economic, and Earth science disciplines, and remote sensing. It provides globally consistent and spatially explicit data for use in research, policymaking, and communications."

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https://mygeohub.org/

"a geospatial science gateway that supports the geospatial modeling, data analysis and visualization needs of the broad research and education communities through hosting of groups, datasets, tools, training materials, and educational contents."

On-line energy data

United States Department of Energy, Energy Information Administration EIA https://www.eia.gov/tools/ See International Energy Statistics.

On-line educational data

http://uis.unesco.org/

- The UNESCO Institute for Statistics is the statistical office of UNESCO.
- It is the UN depository for cross-nationally comparable statistics on education, science, technology, culture, and communication.

Data on households & individuals

https://usa.ipums.org/usa/ "The Integrated Public Use Microdata Series (IPUMS-USA) consists of more than fifty high-precision samples of the American population drawn from fifteen federal censuses and from the American Community Surveys of 2000-present."

https://international.ipums.org/international/ IPUMS-International collects & distributes census data & documentation from around the world, harmonizes data, & disseminates harmonized data free of charge. 85 countries - 301 censuses - 672 million person records.

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Data on households & individuals http://dhsprogram.com/data/ "Since 1984, The Demographic and Health Surveys (DHS) Program has provided technical assistance to more than 300 surveys in over 90 countries, advancing global understanding of health and population trends in developing countries."

Multiple choice test

1. Over the last 2000 years, global human population size grew exponentially. T, F 2. Global human population size is now growing faster than ever before, as %/year. T, F; in absolute numbers added/y. T, F 3. Average number of children per woman at current fertility rates is >3. T, F 4. At least 1/2 world's women live in countries with fertility below replacement level. T, F 5. World population exceeds 10 billion. T, F; 5 billion. T, F

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Take-home messages

- Population problems involve much more than the total number of people on Earth.
- 2. Population, economics, the environment, & culture interact.
- 3. The human population today is unlike any in the past.

Population Economy Environment Culture interact.



Economy Population Environment Culture interact.



Environment Population Economy Culture interact.



Culture Population Economy **Environment** interact.



Question 1

Approximately how many people are alive today?

Question 1

Approximately how many people are alive today? (billion = 10^9 = 億) **7.5 billion** (CIA World Factbook, 20190607 2018 est.) 7.6 billion (https://census.gov/, June 2019) 7.7 billion = 7.550 billion (in June 2017) x $(1.011)^2$ (2017 growth rate = 1.10%/y) (UN Population Division, World Population Prospects: The 2017 Revision; 2019 revision of World Population Prospects is available on 17 June 2019.) How do we know?

Sources of population data

Administrative records (tax, vehicles, real estate) Censuses (de jure, de facto) **Population registers** Vital registration systems birth, death, marriage, divorce **Demographic sample surveys** Other e.g., refugee statistics

Population data of UN 2015 *World Population Prospects* Census population data or official estimates based on censuses, population registers & surveys referring to 2010 or later were available for 172 countries or areas.

These represented 74% of 233 countries or areas analyzed, 83% of world population.

For 54 countries, most recent population data available were from 2000-2009. For the remaining 7 countries, the most recent data were from 1975 in Somalia, 1984 in the Democratic Republic of Congo and Eritrea, and 1998 in Pakistan.

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Vital data of UN 2015 WPP "... of the 201 countries or areas [with 90,000 inhabitants or more in 2015], 177 had information on fertility that referred to 2010 or later. ... information on recent levels of fertility was available for 96.5 per cent of the world's population."

"... for child mortality, measured by the probability of dying between birth and age five, ... information ... was available for 165 countries or areas, encompassing 92 per cent of the world's population".

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Question 1

Approximately how many people are alive today?

How do we know? What is the uncertainty of the answer?

2010 US Census: 308.7 million ±?%

Demographic analysis for resident population estimates for April 1, 2010 (in millions)

Low	Middle Low	Middle	High Middle	High
305.7	307.4	308.5	310.0	312.7

Post-enumeration survey estimate of accuracy for household population only 2019-07-08





Of world's 7.6 billion people in 2017, Asia has 60%, Africa 17%.





Population density, people per km², 2017

Population density is defined as the number of people (population) divided by land area, mesured in square kilometres (km²).



Our World in Data
World population by region



Approximately when were 1 billion people alive?

Approximately when were 1 billion people alive? US Census Bureau 1804 UN Population Div. 1804 How do we know?

How do we know past populations? Babylon: 3800 BCE (roughly 6000 ybp) reports that censuses every 6-7 years counted people, livestock, other foods **Jewish & Christian Bibles** Rome: register of citizens and property every 5 years China, Han Dynasty: 2 CE oldest census still existing: population 59.6 million, largest then USA: 1790; UK: 1801

When were 1 billion people alive? **US Census Bureau** 1804 1804 **UN Population Div.** How do we know? What is the uncertainty of the answer? Historical estimates of population in 1800: 813 million-1,125 million

How fast does population increase per year in 2019? %/year number of people/year

How fast does population increase per year in 2019? %/year number of people/year 1.0-1.1%/year x 7.5-7.7 billion total population = 75-85 million increase per year. **Official estimates have spurious precision!**

Question 4: Theory

If world population always grew 1% per year in the past, how long ago did the world have 2 people? (A) ~70 years ago (~1950) (B) ~2,200 years ago (2.2ka) (C) ~14,000 years ago (14ka) (D) ~180,000 years ago (180ka) (E) ~2 million years ago (2Ma)

Exponential model

Think of savings account with interest rate r. Assume r does not change in time (unrealistic!). Let P(t) = population size at time $t \ge 0$. Assume P(0) > 0, $\frac{dP(t)}{dt} = r \cdot P(t)$. Then $P(t) = e^{rt}P(0)$. P(t) is an exponential function of t. $\log P(t) = rt + \log P(0).$ $\log P(t)$ is a linear function of t. Leonhard Euler, Benjamin Franklin, Thomas R. Malthus

Doubling time in exponential model

How much time *T* is required for the population to double? If $P(t) = e^{rt}P(0)$, then

 $P(T) = 2P(0) = e^{rT}P(0)$ so $2 = e^{rT}$. Hence

$$\ln 2 = rT$$
 and $\ln 2/r = T = 0.69/r$.

Growth rate per year $r \sim Time$ for population to double

1%	69 years	
2%	35 years	
3%	23 years	
4%	17 years	
5%	14 years	
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High population growth rates

CIA World Factbook for 2014; UN Population Fund 2010-2015 average

Country	r, % per year CIA	r, % per year UNPF	CIA: Time to double, years
Lebanon	9.4	3.0	7.4
Zimbabwe	4.4	2.8	15.9
South Sudan	4.1	4.0	16.8
Jordan	3.9	3.5	18.0
Qatar	3.6	5.9	19.4
Malawi	3.3	2.8	20.8
Niger	3.3	3.9	21.1
Burundi	3.3	3.2	21.1
Uganda	3.2	3.3	21.4
Libya	3.1	0.9	22.5
Burkina Faso	3.1	2.8	22.7
Mali	3.0	3.0	23.1
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Example: how long to grow from 2 people to 7.6 billion people at 1%/y? Factor of increase = 7.6 billion / 2 = 3.8 billion. $3.8 \sim 4 = 2^2$, billion = $1000 \times 1,000,000 = 2^{10} \times 2^{20}$ 3.8 billion ~ $2^{2+10+20} = 2^{32}$, 32 doublings. 1% growth per year ~69 years per doubling. Time required is ~32 x 69 years ~ 2208 y. **Exact calculation:** $\ln(7,600,000,000/2)/0.01 = 2206$ years.

Theory can illuminate the past.

Humans have existed for much longer than 2,200 years.

Hence it is not possible that the global population has been growing at 1% per year.

For most of human history, the global population growth rate must have been much less than 1% per year.

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Question 5: biggest countries If you list all 230 countries or areas from largest to smallest estimated population size, how many countries must you add together to get half of all people?

A 2 B 7 C 20 D 120

7 most population countries				
have >1/2 of world's people.				
	Country	People (million)		
	China	1,385		
	India	1,297		
	United States	329		
	Indonesia	263		
	Brazil	209		
	Pakistan	208		
	Nigeria	203		
2019-07-0	Total	3,894		
CIA World Factbook, July 2018 estimate				

Life in time

3.8 Ga Earliest life (1 Ga=10⁹ years ago) 2.1 Ga Multicellular life (Great Oxygenation Event 2.45 Ga) 1.5 Ga Oldest eukaryotic fossil 1.1 Ga First sexually reproducing organisms 0.570 Ga (570 Ma) First arthropods 0.014 Ga (14 Ma) First great apes 0.0025 Ga (2.5 Ma) Genus Homo 0.0003 Ga (0.3 Ma, 300 ka) Modern humans 0.00001 Ga (10 ka) Agriculture

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Pleistocene history 2,588,000 bp (years before present) – 11,700 bp

At end of Younger Dryas, ~11.7ka, global average temperatures rose 10°C (18°F) in 10 years.

All dates are approximate!

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Definitions

Hominoids – all apes (gibbons, gorillas, chimps, orangs, humans)

Hominids – all modern AND extinct GREAT apes (gorillas, chimps, orangs, humans) and their immediate ancestors (not gibbons)

Hominin – any species of early human more closely related to humans than chimps, including modern humans (e.g. Homo, Australopithecus, Ardipithecus, Paranthropus)

Modern humans – 300,000 ybp (years ago)

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Human ancestors diverged from chimpanzees in Africa 4-8Ma.

Genus Homo arose ~2.5Ma.

Human relatives left Africa in (perhaps) four waves. Three waves disappeared.

All living humans are of African origin (~300ka-180ka) but have genes from interbreeding with nowextinct hominins like Neanderthals and Denisovans.

Maps of dispersals of modern humans differ.

Point of origin: south Africa vs east Africa Route out of Africa: north (Sinai) vs south (Red Sea, Arabian peninsula) Dating of exits from Africa: early >60ka vs late <60ka Route(s) & date(s) of entry into Europe: 40ka vs 46-50ka

Routes traveled



Multiple dispersals from Africa & mixing of modern humans in Asia



mtDNA lineage L3a mothered & Y chromosome lineage M168 fathered lineages ancestral to all Asians, Europeans, & Americans: 85% of world population today.



Mellars PNAS 2006

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Migration based on mitochondrial (matrilinear) DNA.

North Pole is at center. Dashed lines are hypothetical migrations. Numbers represent thousand years before present. Blue line shows area under ice or tundra during the last great ice age. Wikimedia, map made in 2005.

CD

Human relatives came from Africa 1, went extinct. Homo erectus left Africa ~1.9-1.8 million years ago, settled in tropical & subtropical Central & East Asia, disappeared ~70,000 years ago.





Antón, Potts, Aiello, Science 2014

Google Earth Data: SIO NOAA US Navy NGA GEBCO, Image Landsat, 4/9/2013

Human relatives came from Africa 2, went extinct. Homo heidelbergensis, ancestors of Neanderthals, left Africa 500,000 years ago, settled Europe & Middle East, went extinct at different times in different places 45,000-28,000 years ago. Possible reasons for extinction: climate change, habitat change, warfare, competition, interbreeding with modern humans





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Modern humans came from Africa 3, went extinct?

Homo sapiens originated about 300ka in Africa,
appeared in Middle East ~110ka,
died out from Middle East ~80ka.
Homo sapiens is absent from the fossil record in Middle East 80ka – 50ka.

Modern humans came from Africa 4, that's us!

Ancestors of all modern humans outside of Africa left Africa. (Why? By what routes? How many times, & when?) Ancestors reached all continents (except Antarctica) before last Ice Age ended. All living humans, African or not, have a most recent common African ancestor, male (~60ka) & female (~175ka).

Comparing within-population diversity between populations Greater average & greater spread of the number of genetic differences between 2 randomly chosen individuals indicates greater genetic diversity & longer time since the origin of the population.



Number of genetic differences between 2 randomly chosen people

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Among present indigenous peoples, the greater the genetic diversity, the older the lineage.





Distance from origin

Serial founder effect reduces distal genetic diversity.



From 54 globally distributed populations, DNA samples from 1064 lymphoblastoid cell lines of individuals, collected by Human Genome Diversity Project at Centre d'Étude du Polymorphisme Humain




"Heterogeneous sampling can reveal genetic clusters that are biologically meaningless."

Handley et al. Trends in Genetics 2007



Holocene history 11,700 years before present (11.7ka) to now

Many peoples domesticated plants.



4 changes in population growth doubling time (years) invention before dates people after 10,000-6,000 35,000-1,400-1-10 local agriculture B.C. million 350,000 3,000 independent inventions of agriculture in Middle East, Asia, Africa, America 750 million global agriculture 750-1,800 1750 100-130 exchange of plants, animals, people between Old World and **New World** public health 2.5 billion 1950 87 36 massive reductions in death rates, esp. among children in poor countries 3.7 billion fertility control 1970 34 50 decline in fertility rates Joel E. Cohen 76

Milestones of population growth					
people	year	years to add latest billion			
1-10 million	-10,000				
100-300 million	0				
420-500 million	1500				
1 billion	1800-20	>120,000			
2	1927-30	110-130			
3	1959-60	30			
4	1974-75	14			
5	1987	13			
6	1999	12			
²⁰¹⁹⁻⁰⁷⁻⁰⁸	Joel E. Cohen 2011-12	12-13			

Our Wor in Data	^d The size of the world population over the last 12.	000 years
7 billion	The average growth rate from	 7.7 billion in 2019 7 billion in 2011
6.5 billion	12ka to 1700 CE was	
6 billion	0.04%/year.	6 billion in 1999
5.5 billion	https://ourworldindata.org/world-population-growth	5 billion in 1987
5 billion	More than 6/7 of all population	
4 billion	growth in last 12ka occurred in	4 billion in 1975
3.5 billion	the most recent 200 years.	
3 billion	World population more than	3 billion in 1960
2.5 billion		
2 billion	doubled since 1970 (3.6-3.7	2 billion in 1928
1.5 billion	billion), tripled since 1944 (~2.3-	1.65 billion in 1900
1 billion	2.5 billion).	990 million in 1800 600 million in 1700
0.5 billion	4 million in 10,000 BCE The average growth rate from 10,000 BCE 190 million in the year 0 Mid 14th pandemic	century: The Black Death In Europe kills 200 million people.
Eased on e	10,000 BCE 8,000 BCE 6,000 BCE 4,000 BCE 2,000 BCE 0 the History Database of the Global Environment (HYDE) and the United Nations. On OurWorldinData.org you can download the annual data.	2000

This is a visualization from OurWorldinData.org, where you find data and research on how the world is changing. Licensed under CC-BY-S/

Licensed under CC-BY-SA by the author Max Roser.

Humans are numerous for their size.



LOG10 BODY MASS kg



Global population growth rate peaked at 2.2%/y 1962-1963, fell to 1.1%/y in 2018-2019.

https://ourworldindata.org/ world-population-growth

Global population annual additions peaked around 1990.

U.S. Census Bureau International Division 2014

Annual Population Change

now

100,000,000 80,000,000 60,000,000 40,000,000 Annual increase fell from ~87 20,000,000 million in 1989 to ~77 million in 2014 (~1.5 million/week). 1950 1970 1990 2010 2030 2050



World economy grew ~18 fold in 20th century, faster than population.

 1900
 1950
 2000

 GDP per person
 \$1,261
 \$2,111
 \$6,037

 1990 international Geary Khamis dollars

World GDP\$2\$5\$37trilliontrilliontrilliontrillionPeople (billions)1.62.56.1

Source: Angus Maddison, Historical Statistics of the World Economy, 1-2008 AD. Joel E. Cohen 83 Rapid population growth did not lead to rapid economic growth. On the contrary!

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The faster population grew, the slower income grew, 1950-2000.



GDP per capita rose faster with lower total fertility rates.



Population Reference Bureau opulation , World Bank, v **World F** World ISION. and atabase. 20 opulati Revision ations \square evelopment Indicators 201(nited Prospects: **OURCES:**

In cross-section, 10x income ↑ goes with 0.44x TFR ↓.



In cross-section, 10x income \uparrow goes with 0.44x TFR \downarrow .



Vvorld primary energy production



Human impacts on bioge	ocher	nistry	grew
faster than population.	1900	2000	ratio
Carbon emitted to atmosphere by humans (billion tons per year)	0.5	7.3	15
Water withdrawals (thousand cubic kilometers per year)	0.5	4	8
Nitrogen in NO _x from fossil fuels (million tons per year)	1.25	25	20
People (billions) Joel E. Cohen	1.6	6.1	<4 90



How	many peo	ple were ever born?
Year	People (10 ⁹) born by year	Source (Table from Cohen 2014)
1682	20.32	Petty 2004 (1682), Postscript
1760	120	Ezra Stiles, in Tattersall 1996:331
1959	3,390 - 5,260	Winkler 1959:75
1960	69	Keyfitz 1966:581
1960	110	Deevey 1960:197
1962	77 – 96	Desmond 1962, reprinted 1965:21
1992	79.6	Tattersall 1996:335
²⁰¹⁹⁻⁰⁷⁻ 2002	⁰⁸ 106	Joel E. Cohen 92 Haub 2002

Changes in population size have 3 sources: Fertility (recruitment, childbearing) Mortality (death) Migration (immigration, emigration)



Balance equation: an open population changes size by births, deaths, & migration. Change in population size /y = + births /y – deaths /y + immigration /y – emigration /y = natural increase /y + "net migration" /y. Divide both sides by initial population size to get rates of change per person /y.

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Two great migrations or expansions Indo-European Kurgan model: 4000BCE – 1000BCE Anatolian model 7000BCE – 4000BCE Bantu 2000BCE – 1000CE

Mfecane 1815-1840 CE Departure of the Fingoes 1840 New York Public Library Digital Collections



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Indo-European migrations, 4000-1000 BCE, in the Kurgan model Marija Gimbutas, 1956



Settled by 1000 BCE Settled by 2500 BCE Urheimat settled by 4000 BCE, domesticated horse.



Bantu expansion: agriculture, ceramics, iron **1** = 2000–1500 BCE origin **2** = ~1500 BCE first migrations 2.a = Eastern Bantu 2.b = Western Bantu **3** = 1000–500 BCE Urewe nucleus of Eastern Bantu 4–7 = southward advance **9** = 500 BCE–0 Congo nucleus ²⁰¹⁹⁻⁰⁷⁻⁰⁸ Joel E. Cohen **10 = 0–1000 CE last phase**





More recent migrations

Vandals 5th century BCE – 5th cent. BC Migration Period, Völkerwanderung 21CE - 700CE**Trans-Atlantic slave trade** 12.5 to 15-18 million deportations European migration 1820 – 1924 > 60 million migrants



European emigration >60 million Europeans emigrated 1820-1914 to North & South America & Oceania.



P. Martin 2013

A closed population changes size by births & deaths.

Change per year in population size = + births per year – deaths per year = "natural increase" per year. [people/y] Divide both sides by initial population size to get rates per person per year: Population growth rate per person per year = birth rate per person per year - death rate per person per year = rate of natural increase. [/y] 2019-07-08 103

China's birth rate, death rate, & rate of natural increase per 1,000, 1949-1997



Demographic transition model

Initially, birth rates & death rates are high.

Death rates, especially child mortality rates, decline BEFORE fertility (child bearing) declines.

Historical examples: England, Germany, Sweden in 19th century; India & other developing countries in 20th century. Finally, birth rates & death rates are low.



Demographic transition examples



Democratic Transition - Japan




Iran's demographic transition 1960-2010









Life cycle income & consumption



Demographic dividend of demographic transition



Fertility

Fertility versus fecundity: In demography, fertility describes behavior of giving birth. Fecundity describes physiological capacity to reproduce. "Fertility is the number of children born to a woman, while fecundity is her physiological potential to bear children." Mary K. Shenk, International Encyclopedia of Human Sexuality (Wiley, 2015)₄ Joel E. Cohen 2019-07-08

Fertility began to fall centuries ago. Fertility began to fall in France ~1750. **Before industrial revolution Before modern contraception Before widespread literacy** Before civil rights for women Reasons for fertility decline may vary, and are not fully understood. French nobility & peasantry probably used coitus interruptus.

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3 measures of fertility

- Birth rate (BR) = number of births (both sexes) per year per person (both sexes, including non-reproductive ages)
- Total fertility rate (TFR) = average number of births (both sexes) per woman's lifetime at age-specific birth-rates, no female mortality
- Net rate of reproduction (NRR) = average number of daughters per woman's lifetime at age-specific female birth- & female deathrates (includes effects of sex-ratio at birth)

Replacement level fertility

Birth rate BR = (death – immigration + emigration) rates per person (from balance equation) = death rate if no migration Total fertility rate TFR = 2.1 births per woman per lifetime in developed countries, >2.3 in developing countries, as high as 3 in areas of very high mortality Net rate of reproduction NRR = 1 daughter per woman per lifetime

Why do fertility measures speak only of women?

Historical reason: it was easier to identify mother of a child than father.

Theoretical reason: sexually reproducing populations have (highly!) nonlinear dynamics. No widely accepted 2-sex mathematical theory exists.

Net rate of reproduction (NRR)

NRR is the average number of daughters born to 1 newborn girl, considering the girl's probability of survival to each age, number of children at each age, & sex ratio at birth.

When NRR=1, each woman replaces herself with 1 daughter, & fertility is at replacement level.

Global NRR=1.1 daughter/woman. UN, World Fertility Patterns 2015



Fertility schedule, maternity schedule

Age-specific fertility rate (ASFR) of women aged x in year t is the average number of live births per woman aged x in a specified geographic area in year t.

m(x,t)

= number of live births to women aged x
/ number of women aged x, in year t.
Sources: vital registration, population censuses, population surveys.

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Total fertility rate (TFR)

TFR is the most widely used, but not the best, measure of fertility. TFR = sum of age-specific birth rates = average number of children born per woman who lives to last age of reproduction (i.e., assuming no maternal deaths during childbearing ages)

Fertility fell rapidly since 1950.



Low & medium fertility increased.



Since ~2007, for the first time in human history, more than half of all people live in countries with TFR below replacement level.

Changes in birth rates affect age structure more than changes in death rates!





10 countries with highest TFR: more in sub-Saharan Africa.



10 countries with lowest TFR: more in Asia, fewer in Europe.

Children per woman



UN, World Fertility Patterns 2015¹³⁰



Source: UN Population Division (2017 Revision)

OurWorldInData.org/world-population-growth/ • CC BY-SA

"Childbearing is becoming less connected with marriage." "In 64 [of 198] countries with data on extramarital births for all three periods [1970s, 1995, 2000+], the median percentage of births that occurred outside of formal (legal) marriage rose substantially, from 7.2 per cent in the 1970s to 35.9 per cent in the first decade of the twenty-first century." In 2000-11, only 91 countries reported data on extra-marital births. United Nations Population Division (2013), World Fertility Report 2012

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Unintended pregnancy is mistimed or unwanted.





FIELDING Jessica D. Gipson 2016

40% of global pregnancies are unintended.

213 million pregnancies occurred worldwide in 2012, 190 million (89%) in developing world.
85 million pregnancies (40%) were unintended,

- 47% unintended in more developed,
- 39% unintended in less developed,
- 35% unintended in Africa,
- 56% unintended in LAC.



Gap between Philippines actual and wanted fertility increases with poverty.



45% of US pregnancies are unintended.





Don't panic

You're scared. You're alone. You thought you were out of options You're not.

You can legally hand your unharmed baby up to 30 days old to staff at a hospital, police or fire station. Walk away, no questions asked.



Anonymous Hotline 1-888-510-BABY saveabandonedbabies.org



DCFS



JEC Chicago 2014-05-11



Adolescent birth rate is births/1000 women aged 15-19.



46 births/1000 adolescent women in 2010-15 Joel E. Cohen UN, World Fertility Patterns 2015



Births per 1,000 girls aged 10 to 14 years UN Population Division, Population Facts 2019/1, April 2019, most recent estimates

Men's ideal number of children exceeds women's.



ICF Macro, Demographic and Health Surveys; and World Database Bank, World Development Indicators



Optional stopping & sex ratio

Suppose couples stop having children after having a boy, or after they have 4 girls, whichever comes first.

How many boys & how many girls will be born to an average couple?

What will be the average fraction of girls/children per couple?

Can optional stopping explain a great excess of boys among children? (No!)

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Optional stopping & sex ratio								
Assume birth probability of either sex = 1/2.								
	Proba-				Boys/ Girls/			
Births	bility	Boys	Girls	С	Children (Children	Children	
В	0.5		1	0	1	1	. 0	
GB	0.2	5	1	1	2	0.5	0.5	
GGB	0.12	5	1	2	3	0.33333	0.66667	
GGGB	0.062	5	1	3	4	0.25	0.75	
GGGG	0.062	5	0	4	4	С	1	
Average 0.9375 0.9375 1.875 0.68229 0.3177								



1,600 million women of reproductive age, 2017

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Women with unmet need for modern contraceptive methods account for 84% of unintended pregnancies.

Gantmacher Institute, Adding It Up, December 2017

89 million unintended pregnancies, 2017



224,000 maternal deaths/year could be prevented.

Current levels of contraceptive and maternal care



Contraceptive & maternal & newborn health (MNH) coverage for all would cost \$21.6 billion more per year than current levels of care.

Cost of modern contraceptive care

Cost of MNH care for unintended pregnancies

Cost of MNH care for intended pregnancies

Gantmacher Institute, Adding It Up, December 2017 2019-07-08



Planned US Mother's Day spending reached \$23.6B in 2017.



150



 Random assignment to Bedsider vs. control group found women in Bedsider group less likely to have a pregnancy scare, an unintended pregnancy, or unprotected sex as compared to the control group (Antonishak et al. 2015)

Jessica D. Gipson 2016



Contraceptive use lowered fertility in Matlab, Bangladesh.



National policy affected fertility.



More educated women use contraception more.



Total fertility rates decline from rural to urban areas.



Modern contraceptive use increases from rural to urban areas in most regions.



Unmet need for contraception is greatest in rural & small urban areas.



Women with more education are less likely to have children before age 20.



More educated women have fewer children almost everywhere.



The lower a country's overall TFR, the closer its TFRs by education.



Fertility decline has many effects.

In future, 1. fewer individuals; 2. smaller household size, so for given population size, more households.



Is low fertility really a problem? Population aging, dependency, Montgomery et al. 2003 Cities Transformed and consumption Workgomery et al. 2003 Cities Transformed Workgomery et al. 2003 Cities Transformed Workgomery et al. 2003 Cities Transformed Workgomery et al. 2003 Cities Transformed

Ronald Lee,^{1*} Andrew Mason,^{2,3*} members of the NTA Network[†]

Longer lives and fertility far below the replacement level of 2.1 births per woman are leading to rapid population aging in many countries. Many observers are concerned that aging will adversely affect public finances and standards of living. Analysis of newly available National Transfer Accounts data for 40 countries shows that fertility well above replacement would typically be most beneficial for government budgets. However, fertility near replacement would be most beneficial for standards of living when the analysis includes the effects of age structure on families as well as governments. And fertility below replacement would maximize per capita consumption when the cost of providing capital for a growing labor force is taken into account. Although low fertility will indeed challenge government programs and very low fertility undermines living standards, we find that moderately low fertility and population decline favor the broader material standard of living.

Science sciencemag.org 2014-10-10 346(6206):229-234

Survival, mortality, age

Concepts of chronological age & lifespan

1. One person

In Gregorian (Western) calendar, people are born at age 0. Age increases by 1 year on each anniversary of birthday.

In traditions of China, Japan, Korea, child is born at age 1 (ordinal number of first year of life), & age is increased by 1 at each following lunar new year.

Concepts of chronological age & lifespan

1. One person

- 2. Cohort population: group of people *born* in a time interval, followed through time
- 3. Period population: group of people *alive* in a time interval, during that interval only
- 4. Population: group of people, over time, increasing by births & immigration, decreasing by deaths & emigration





Period population: people *alive* in a time interval

Age is time since birth. People alive today are a cross-section of cohorts born earlier.



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age

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Period life expectancy

Period life expectancy at birth is a summary of present age-specific rates of survival, like the reading on a car's speedometer.
Period life expectancy at birth is NOT a prediction of the average remaining life length of a person alive today. Survival may improve or worsen in the future.

A speedometer reading of 100 km/hour does NOT mean you will be 100 km ahead after one hour.

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Period life expectancy at birth for women of countries in Human Mortality Database (2014),1960-2009



Population lifespan

"Lifespan" of a population may be generations, centuries or millennia. How to describe mortality in a (cohort or period) population?

Distribution of length of life

 distribution of age at death
 (probability density function)

 Life table, or survival curve

 (1 – cumulative distribution function)

Distribution of ages at death, women in Japan, 1950-54 to 2000-2004





Infant mortality rates fell.

Number of deaths under age 1 per 1,000 live births



Deaths of children under 5 fell from 9% of live births in 1990 to 4.6% in 2013.

"All regions except Sub-Saharan Africa and Oceania have reduced the rate by 52 percent or more. The global under-five mortality rate is falling faster than at any other time during the past two decades."

"About half of under-five deaths occur in only five countries: India, Nigeria, Pakistan, Democratic Republic of the Congo and China." UNICEF, Child Mortality Report 2014

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Higher natural increase goes with much higher child mortality rate.



Source: UN Population Division (2017 Revision)

OurWorldInData.org/world-population-growth/ • CC BY


Oldest old people in Japan



Modal age at death, median life, & life expectancy (average life) grew together.



Life expectancy mostly increased.



Life expectancy rises with GNP per person in developing countries.



Life expectancy at birth rises with income, & shifts upward over time.







Rise in world-record life expectancy is not slowing down.

"if life expectancy were close to a maximum, then the increase in the record expectation of life should be slowing. It is not." Jim Oeppen & James Vaupel 2002



Record national period female life expectancy at birth rose 0.24 years of life per calendar year, 1840-2007.

> Oeppen & Vaupel, Science 2002, Christensen et al. Lancet 2009

Which age groups contributed to rise in record life expectancy?



USA's oldest survivors live longer.

In period tables, the age at which 1 in 100,000 survives "for males increased from 104.4 years in 1900 to 109.8 years in 2001, while for females it increased from 104.9 years to 112.0 years. ... This trend runs counter to the widely held belief that the age attained by the oldest survivors in the population has risen little, if at all, during the twentieth century."

Bell & Miller, Social Security Administration, 2005

Intellectual elites (Royal Society UK, Russian Academy of Sciences) live longer.







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40 is the new 30.

In France in 2005, women aged 40 years had remaining life expectancy of women aged 30 years in 1952. (Lutz, Butz, KC et al. 2014)

Year	Chronological age	Remaining life
		expectancy
1952	30	44.7
2005	30	54.4
2005	40	44.7

Populations, not individuals, have age structure.



World age structure grew older 1970-2014.



PRB, 2014 World Population Data Sheet. UN Population Division 2013

Regions changed differently 1970-2014.



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Japan's age structures reflected rapid growth of Meiji era,

deaths & deferred births during war, post-war baby boom,

improved adult survival & falling fertility.

Hinoeuma – fire horse

Satoshi Shimizutani & Hiroyuki Yamada, 2014. Long-term consequences of birth in an 'unlucky' year: evidence from Japanese women born in 1966. Applied Economics Letters 21(16):1174-1178. https://doi.org/10.1080/13504851.2014.916377 Kaku, Kanae (April 1975). Increased induced abortion rate in 1966, an aspect of a Japanese folk superstition. Annals of Human Biology 2(2):111–115. doi:10.1080/03014467500000651 2019-07-08 Joel E. Cohen

Singapore

UN Population Division, World Population Prospects: 2017 Revision, II: Demographic Profiles







http://iran.unfpa.org/C ountry%20Profile.asp

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U.S. age structure, 1900, 1970



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U.S. age structure 2000



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U.S. age structure 2000-2015 https://www.census.gov/popclock/ USA 2000 USA 2015



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Age pyramids for every country! https://www.cia.gov/library/publications/theworld-factbook/

Select a country (or "World") Select "People and Society" Under "Age structure", at bottom, click on "population pyramid"



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Population chronological aging depends mostly on birth rates. Birth rates control the expansion or contraction of the bottom of the age pyramid. Changes in fertility change the proportion of elderly people in the population more than comparable changes in survival or life expectancy.

Immigration cannot keep a population young because immigrants age, too.

FIGURE 2 US per capita energy use 1987–97 (residential) and 1983–94 (transportation), by cohort



The 20th century was the last with more young than old people.



People 60+ outnumber children 0-4.



Chronological measures of population aging Skirbekk, Staudinger, Cohen Gerontology 2018 Measures based on current age structure % of people above age 60 or 65 years Old-age dependency ratio **Potential support ratio** Age at specified percentile (oldest 15%) Average age Median age

Chronological measures of population aging

Skirbekk, Staudinger, Cohen *Gerontology* 2018 Measures based on period life table (current age-specific death rates)

Life expectancy = average age at death

Remaining life expectancy (RLE) at age 50

Age with RLE of 15 years

Age to which 5% of adults (20+) survive

Hybrid

Population-average RLE 2019-07-08 Joel E. Cohen Economic measures of population aging

Skirbekk, Staudinger, Cohen Gerontology 2018

Economic dependency ratio (EDR): ratio of number of economically inactive people to those employed (excludes housework, voluntary work)

Ratio of number of working years to years spent in full retirement

Real elderly dependency ratio (REDR): number of people with RLE ≤15 y divided by total number of employed people

Physical-health measures of population aging Skirbekk, Staudinger, Cohen *Gerontology* 2018

Healthy life expectancy HALE: average equivalent number of years of full health a person would live through life at agespecific death rates & ill-health rates in a given period & country
Widely used by WHO, UN, Global Burden of Disease project

Functional measures of population aging Skirbekk, Staudinger, Cohen *Gerontology* 2018

Cognitive functioning: e.g., define people as cognitively "older" if they remembered <5 of words in a test to recall 10 words harmonized for different languages & cultures

Cognitively intact life expectancy Structural & functional neuroimaging
Functional measures of population aging Skirbekk, Staudinger, Cohen Gerontology 2018 Sensory functioning: hearing, vision Functional capacity: vital (lung) capacity, gait speed (time to walk 10 m), standing balance, grip strength, chair stand test, reflex speeds

Biomarkers as potential future measures of population aging Skirbekk, Staudinger, Cohen Gerontology 2018 Leonardo da Vinci: tree rings Telomere length, algorithms applied to genome-wide DNA methylation data, algorithms combining information on multiple clinical biomarkers "In the last 2 decades, many biomarkers of aging have been proposed, with limited success."

Is the world ageing?

By chronological measures, YES! By economic, physical health, functional, or biomarker measures of age, nobody knows.

Migration

autonia Can

"A Spanish Civil Guard pulls an African migrant from a border fence, as Spanish Civil Guard officers stand underneath, during an attempt to cross into Spanish territories, between Morocco and Spain's north African enclave of Melilla." 2014-10-15 REUTERS/Jesus

Blasco de Avellaneda

"A golfer hits a tee shot as African migrants sit atop a border fence during an attempt to cross into Spanish territories between Morocco and Spain's north African enclave of Metilla." 2014-10-22 REUTERS/Jose Palazon

"An African migrant grimaces while scaling a border fence during an attempt to cross into Spanish territories, between Morocco and Spain's north African enclave of Melilla." 2014-10-22 REUTERS/Jesus Blasco de Avellaneda

Migration

Migrant = person who lives outside country of birth (with intent to remain >1 year) 10 countries host half of all migrants. USA hosts almost 20% of all migrants. In 2015, 72% of migrants were aged 20-64, 15% under age 20, 13% aged 65+. Total of 244 million in 2015 is 41% increase since 2000. **UN Population Division, Trends in International Migrant** Stock: The 2015 Revision.

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Migration

Internal migration dwarfs migration across national boundaries.

Migration between neighboring countries dwarfs interregional migration.

Migrant stocks *≠* migrant flows

Migrant stock is the number of people living outside country of birth (relatively easy to measure, except in USA 2010).

Migrant flow is number of people crossing international border as "migrant" per year (difficult to measure).

Nations have different definitions of "migrant".

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Global migrant stock 1960-2000



% of U.S. population who were foreign-born, 1900–1996





South-South migration is as common ...





Migration relative to natural increase

Migration dominated natural increase (births minus deaths) before the demographic transition.

"Completion of the first demographic transition in many parts of the world has seen migration replace fertility and mortality as the leading agent of demographic change."

Martin Bell et al., Pop. & Dev. Rev. 2015

International migration affects population change differently in different regions.



About 0.6% of people (~40 million) moved internationally 2005-2010.

Internal (domestic) migration dwarfs international migration.

Migration between neighboring countries dwarfs interregional migration.

People move: least→less developed, less→more developed, more→more developed.

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Migrant flows 2005-2010 G.J. Abel, N. Sander Science 2014 Tick marks show millions of migrants (inflows & outflows).



750 million people (15% of adults) "say they would like to leave their country permanently."

Gallup polls of 453,122 adults in 152 countries 2015-17 158 million "say they would like to move to the US" (21% of potential migrants). 16% of Americans "in 2017 ... would like to move to another country ... the highest measure to date." 33% of sub-Saharan African adults want to move. "In 13 countries, at least half of the adult population would like to move to another country if they had the chance." https://news.gallup.com/ December 10, 2018



Cities are ancient.

Neolithic settlements at 7000 BCE 'Ain Ghazal, Jordan Beidha, Jordan Çatalhöyük, Turkey Khirokitia, Cyprus Jericho, Palestinian Authority



Çatalhöyük, Verity Cridland, 2008 18 successive layers of buildings 7500 BCE-5600 BCE Population 5000-7000 Joel E. Cohen





Top 10 cities in 1000 have no overlap with top 10 cities in 2015.



DOODAD

Cities grew in 20th century. 1900 1950 2000 0.21 0.751 **Urban** population 2.87 47%30% (billions) % of total 13% Number of cities with 20 $\left(\right)$ ≥10 million people % urban pop. in cities 1.6 9.6 with ≥10 million people

The 20th century was the last century with more rural than urban people.

year	% urban
1950	30
2014	54
2050 (projected)	66

UN Population Division,

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World Urbanization Prospects 2014

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Regions urbanized at different rates.



For given level of urbanization, urban populations grew faster in developing countries.



1/2 urban people live in cities <500,000.

- Megacities of 10 million or more
- Large cities of 5 to 10 million
- Medium-sized cities of 1 to 5 million
- Cities of 500,000 to 1 million
- Cities of 300,000 to 500,000
- Urban areas with fewer than 300,000



Different countries use different thresholds for "urban" areas.

Circles show relative population sizes.

UN Pop. Div., World Urbanization Prospects: 2014 Rev. World Bank, SDG Atlas 2019-07-08



Where are people?

Least densely populated half of Earth's censused land area has <2% of people, <10 people/km².
~½ of all people live in <3% of censused land area, </p>
>500 people/km².

Cities occupy 2-3% of censused land.

Lorenz curve of people in space



% urban varies by region.

N. America > Lat.Am. & Carib. > Europe > Oceania > Asia > Africa

UN Pop. Div. 2015 World Urbanization Prospects: The 2014 Revision 2019-07-08





China

Jiang and O'Neill, 2004 Int. J. Glob. Energy Issue 21:2-26.



India


634 million people live in coastal areas at <10 m (33 ft) above sea level.

Of those 634 million, 360 million are urban. >180 countries have people in low coastal zones.

2/3 of those countries have urban areas of more than 5 million people in low-elevation coastal zones.

McGranahan, Balk, Anderson Environment & Urbanization 2007



Latin American & Caribbean cities in OWelevation coastal zones

UN Habitat, State of World's Cities 2008-2009



UN Habitat, *State of World's Cities* 2008-2009





Blue: Sea level change from tide-gauge data (Church J.A. and White N.J., Geophys. Res. Lett. 2006; 33: L01602) Red: Univ. Colorado sea level analyses in satellite era (http://www.columbia.edu/~mhs119/SeaLevel/).

Hansen 2012-09-22

Katrina, New Orleans, 2005-08-31

photo from Air Force One

Sandy, New York City, 2012-10-28/29

86 Street

100

"largest hurricane ever recorded in the Atlantic basin"--Wikipedia 259

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Review of major ideas & facts



20th century was unique demographically.

- 1. Highest global population growth rate in history
 - Only century in which global population doubled (& tripled)
- 2. Largest voluntary decline in fertility
- 3. Last century with more young people than old people
- 4. Last century with more rural people than urban people

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Many population concepts apply across species. **Exponential growth model** Life table **Distribution of age at death** Age (or stage) structure **Cohort versus period populations** Interactions of population with environment, economics, culture

Ideas (1)

Given any statistic, ask: How do we know the answer? What is the uncertainty of the answer? All humans today have African origins. Human genetic variation is continuous, not categorical. In exponential model (constant growth rate), doubling time (years) = 0.69 / growth rate/y.

Ideas (2)

- In exponential model, plot of log(population size) as function of time is a straight line.
- 6/7 of population growth in last 12,000 years occurred in last 200 years.
- Human population growth was superexponential up to 1963.
- Annual growth rate & annual increase peaked 1963-1990.
- Annual growth rate fell from peak by half.

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Ideas (3)

Rapid population growth slows economic growth. Countries that start with lower TFR grow faster economically.

Population size changes by births (fertility), deaths (mortality), & migration (immigration, emigration).

Natural increase = births – deaths.

Net migration = immigration – emigration.



Ideas (5)

Total fertility rate (TFR) = average number of children born to 1 newborn girl at current birth rates assuming no maternal deaths. Replacement level = 2.1-2.5 children/lifetime Net rate of reproduction (NRR) = average number of daughters born to 1 newborn girl at current birth rates, considering maternal deaths.

Replacement level = 1 daughter/lifetime

Ideas (6)

World TFR & % increase/y fell by 1/2 since 1950.

Increased used of contraception by 15 percentage points goes with 1 fewer child per woman's lifetime (TFR reduced by 1).

Ideas (7)

Cohort population is a group of people *born* in a brief time interval (day, year, 5 years, 10 years), followed through time.

Period population is a group of people *alive* in a time interval, during that interval only.

Ideas (8)

Rapid economic growth & slow population growth go together. (Causality neutral!) 10-fold rise in income goes with reduction in TFR by half (cross-sectional data). Countries that start with lower TFR grow faster economically (longitudinal data). Educated women use more contraception, have children later, & have fewer children.

Multiple choice test

1. Over the last 2000 years, global human population size grew exponentially. T, F 2. Population size is now growing faster than ever before, as %. T, F; in absolute numbers added/yr. T, F 3. Average number of children per woman at current fertility rates is >3. T, F 4. ~1/2 world's women live in countries with fertility below replacement level. T, F 5. World population exceeds 10 billion. T, F; 5 billion. T, F

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Population Economy Environment Culture interact.



Thank you! Questions?



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